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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/896,186
Filing Dat	06/29/2001
First Named Inventor	LEVIN
Group Art Unit	1645
Examiner Name	MEHTA, Ashwin
Attorney Docket Number	PB/5-31481A

Total Number of Pages in This Submission **4**

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):

Search Report

8 reference documents

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Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Mary Kakefuda, Attorney for Appllicants, Registration No. 39,245
Signature	<i>Mary Kakefuda</i>
Date	10/31/02

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: October 31, 2002

Typed or printed name Susan D. Holder

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Susan D. Holder

Date

October 31, 2002

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PH#2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

LEVIN *et al.*

Serial. No.: 09/896,186

Filed: June 29, 2001

For: Methods of Controlling Gene
Expression and Gene Silencing

Confirmation No. 9567

Art Unit: 1645

Examiner: MEHTA, Ashwin

Atty Docket: PB/5-31481A

SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT

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Commissioner for Patents
Washington, D.C. 20231

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Sir:

In accordance with 37 CFR §1.56, Applicants wish to call the Examiner's attention to the references cited on the attached Form PTO-1449. The submission of these references is made solely for the purpose of complying with Applicant's duty of disclosure, and is not intended as a surrender of patentable subject matter nor is it intended to create prosecution history estoppel. Also attached is International Search Report PCT/EP 01/08825.

Identification of the listed references is not to be construed an admission of Applicants or Attorneys for Applicants that such references are available as "prior art" against the subject application.

Applicants respectfully request that the Examiner review the foregoing references and that the references be made of record in the file history of the application.

The Examiner is requested to consider the foregoing information in relation to this application and to indicate that each reference was considered by returning a copy of the initialed PTO 1449 forms.

In accordance with 37 CFR §1.97(b)(3), no fee is believed to be required for consideration of this statement because it is being submitted before the mailing date of a first Office Action on the merits. If a fee is deemed to be required, the Commissioner is hereby authorized to charge such fee to Deposit Account No. 50-1744 of Syngenta Biotechnology Inc.

Respectfully submitted,



Mary Kakefuda
Attorney for Applicants
Registration No. 39,245

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Date: Oct 31, 2002